

METHOD FOR FORMING ALUMINUM BUMPS BY SPUTTERING  
AND CHEMICAL MECHANICAL POLISHING

Field of the Invention

001        The present invention generally relates to a method for forming aluminum bumps and more particularly, relates to a method for forming aluminum bumps by a method that requires substantially reduced number of processing steps which includes sputtering and chemical mechanical polishing.

Background of the Invention

002        In the fabrication of semiconductor devices, the ever increasing device density and the decreasing device dimensions demand more stringent requirements in the packaging or interconnecting techniques for such devices. In recent years, a flip chip attachment method has been widely used in the packaging of semiconductor chips. In the flip chip attachment method, instead of attaching a semiconductor die to a lead frame in a package, an array of bumps is first formed on the surface of the die. The formation of the bumps may be carried out by a variety of methods depending on the electrically conductive material that is used to form the bumps. For instance, evaporation,

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electrodeposition, stencil printing, screen printing have all been used to form electrically conductive bumps on flip chips.

003 The more frequently utilized bump fabrication techniques are a metal deposition process and a plating process. To carry out either of the processes, a series of barrier and seed layers of metal are first deposited on the surface of the semiconductor wafer. These layers are later removed by a wet etching process everywhere except under the die pads and the layers are used to improve adhesion of subsequent layers and to form a barrier to stop metal diffusion from the bump material to the underlying die pad. In a typical bump forming process, a layer of a photoresist material is then deposited over the surface of the semiconductor wafer. A photo mask is then used to pattern the locations over each of the die pads that a bump is to be formed. An etching process, such as plasma etching is used to expose the die pads, while the openings in the photoresist layer determines the shape and height of the bump to be formed.

004 The electrically conductive bump, which is typically formed of gold or aluminum, can be electroplated or sputtered over the die pad and the barrier and seed layers. Once the plating or

sputtering step is completed, a series of wet etching steps is used to remove the photoresist layer and the various barrier and seed layers that cover the remainder area of the wafer while the bumps protect the underlying material from being etched. While gold is the most commonly used material, other electrically conductive materials such as copper, tin-lead and aluminum as well as layered composites of these materials can also be utilized.

005        A conventional method for forming gold bump is illustrated in Figures 1A~1I. As shown in Figure 1A, an input/output (I/O) pad 12 formed on a semiconductor substrate 14 is first provided for a semiconductor structure 10. On top of the I/O pad 12, is then deposited a passivation layer 16 of an insulating material. The passivation layer 16 is formed by a photolithographic method using a mask (not shown) to provide an opening 18 for the I/O pad 12. In the next step of the process, as shown in Figure 1B, a diffusion barrier layer 20 of TiW is conformally deposited into the pad opening 18. On top of the TiW barrier layer 20, is then deposited a gold seed layer 22, as shown in Figure 1C. Both the TiW barrier layer and the Au seed layer may be suitably deposited by using a sputtering technique or an electroplating technique. On top of the semiconductor structure

10, is then coated, most likely by a spin coating technique, a thick photoresist layer 24.

006        In the next step of the process, as shown in Figure 1E, the photoresist layer 24 is patterned by a mask (not shown) and an opening 26 is formed by a dry etching method such as plasma etching. The opening 26 is then filled, by an electroplating process of Au, as shown in Figure 1F. The photoresist layer 24 is then stripped by a dry etching method leaving the Au bump 28 exposed on the semiconductor 10. In the next two steps of the process, as shown in Figures 1H and 1I, the gold seed layer 22 is etched away by a wet etch method and then, the TiW barrier layer 20 is etched away by a wet etch method exposing only the gold bump 28 above the passivation layer 16.

007        The conventional gold bump forming process requires numerous photolithographic steps, numerous deposition steps and various dry etching and wet etching steps. It is a time consuming and laborious process which severely impacts the yield of the semiconductor device.

008        It is therefore an object of the present invention to provide a method for forming aluminum bumps that does not have drawbacks or shortcomings of the conventional bump forming techniques.

009        It is another object of the present invention to provide a method for forming aluminum bumps by a substantially simplified process that only requires five process steps.

0010       It is a further object of the present invention to provide a method for forming aluminum bumps by sputtering aluminum into a plurality of openings for the bumps.

0011       It is still another object of the present invention to provide a method for forming aluminum bumps by first sputtering aluminum into a plurality of openings and then chemical mechanical polishing to remove excess aluminum from the openings.

0012       It is yet another further object of the present invention to provide a method for forming aluminum bumps that only requires a single photolithographic patterning process.

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0013        It is yet another object of the present invention to provide a method for forming aluminum bumps by first sputtering aluminum into a plurality of openings and then chemical mechanical polishing to remove excess aluminum from the openings.

0014        It is yet another further object of the present invention to provide a method for forming aluminum bumps that only requires a single photolithographic patterning process, an aluminum sputtering process, a CMP process and a wet etch process.

#### Summary of the Invention

0015        In accordance with the present invention, a method for forming aluminum bumps by sputtering and chemical mechanical polishing is provided.

0016        In a preferred embodiment, a method for forming aluminum bumps by sputtering and chemical mechanical polishing (CMP) can be carried out by the operating steps of providing a pre-process electronic substrate with a plurality of input/output (I/O) pads formed on a top surface; depositing an insulating material layer on top of the plurality of I/O pads to a thickness that is essentially the thickness of the aluminum bumps to be formed;

photolithographically forming a plurality of openings with one on each of the plurality of I/O pads; sputter depositing a metal comprising Al filling the plurality of openings and covering a top surface of the insulating material layer; chemical mechanical polishing the electronic substrate until a plurality of Al bumps are formed with a top surfaces of the bump flush with the top surface of the insulating material layer; and removing at least partially a thickness of the insulating material layer by a wet etch process.

0017        The method for forming aluminum bumps by sputtering and chemical mechanical polishing may further include the step of forming the plurality of I/O pads in a metal that includes Al, or the step of depositing the insulating material layer to a thickness of at least 5  $\mu\text{m}$ , or the step of depositing the insulating material layer from the group consisting of silicon oxide, spin-on-glass and polyimide. The method may further include the step of depositing the insulating material layer by at least two layers of different materials, or the step of depositing the insulating material layer by a first layer of  $\text{Si}_3\text{N}_4$  or  $\text{SiO}_2$  and a second layer of polyimide on top of the first layer. The method may further include the step of depositing the insulating material layer by at least two layers of

different materials to a total thickness of at least 5  $\mu\text{m}$ , or to a total thickness of between about 5  $\mu\text{m}$  and about 10  $\mu\text{m}$ . The method may further include the step of sputter depositing a metal that consists of Al and Cu, or a material that consists of Al and less than 3 wt. % Cu. The method may further include the step of conducting the wet etch process incorporating buffered oxide etch (BOE).

0018        The present invention is further directed to a method for forming aluminum bumps on a semiconductor structure that includes the steps of providing a preprocessed semiconductor structure with a plurality of I/O pads on top; printing a layer of polyimide-containing material that has a thickness of at least 5  $\mu\text{m}$  on top of the structure forming a plurality of openings on each of the pluralities of I/O pads exposed; filling the plurality of openings with a metal that includes Al; removing excess metal from areas other than the plurality of opening; and removing at least partially the layer of polyimide-containing material by a wet etch process.



0019        The method for forming aluminum bumps on a semiconductor structure may further include the step of forming the plurality of I/O pads in a metal that includes Al, or the step of printing the layer of polyimide-containing material by a screen printing or stencil printing technique, or the step of printing the layer of polyimide-containing material to a thickness between about 5  $\mu\text{m}$  and about 10  $\mu\text{m}$ . The method may further include the step of filling the plurality of openings with a metal that includes Al and Cu. The method may further include the step of removing excess metal until a surface of the metal in the plurality of openings is flush with a top surface of the layer of polyimide-containing material. The method may further include the step of removing at least partially the layer of polyimide-containing material by an etchant that includes HF and  $\text{NH}_4\text{F}$ . The method may further include the step of removing at least  $\frac{1}{2}$  of a total thickness of the layer of polyimide-containing material to facilitate bonding to the aluminum bumps formed in the plurality of openings, or the step of removing completely the layer of polyimide-containing material to facilitate bonding.

### Brief Description of the Drawings

0020        These and other objects, features and advantages of the present invention will become apparent from the following detailed description and the appended drawings in which:

0021        Figure 1A is an enlarged, cross-sectional view of a pre-processed semiconductor structure for forming Au bumps by a conventional method.

0022        Figure 1B is an enlarged, cross-sectional view of the semiconductor structure of Figure 1A with a barrier layer of TiW conformally deposited on top.

0023        Figure 1C is an enlarged, cross-sectional view of the conventional semiconductor structure of Figure 1B with a gold seed layer deposited on top.

0024        Figure 1D is an enlarged, cross-sectional view of the conventional semiconductor structure of Figure 1C with a thick photoresist layer deposited on top.

0025 Figure 1E is an enlarged, cross-sectional view of the conventional semiconductor structure of Figure 1D with the photoresist layer patterned.

0026 Figure 1F is an enlarged, cross-sectional view of the conventional semiconductor structure of Figure 1E with gold electroplated in the opening for the bump.

0027 Figure 1G is an enlarged, cross-sectional view of the conventional semiconductor structure of Figure 1F with the photoresist layer removed.

0028 Figure 1H is an enlarged, cross-sectional view of the conventional semiconductor structure of Figure 1G with the Au seed layer removed.

0029 Figure 1I is an enlarged, cross-sectional view of the conventional semiconductor structure of Figure 1H with the TiW barrier layer removed.

0030        Figure 2A is an enlarged, cross-sectional view of a present invention semiconductor structure with a passivation layer formed on an I/O pad.

0031        Figure 2B is an enlarged, cross-sectional view of the present invention semiconductor structure of Figure 2A with the passivation layer patterned to provide an opening over the I/O pad.

0032        Figure 2C is an enlarged, cross-sectional view of the present invention semiconductor structure of Figure 2B with aluminum sputter deposited on top and filling the opening.

0033        Figure 2D is an enlarged, cross-sectional view of the present invention conventional semiconductor structure of Figure 2C with the aluminum bump formed by a chemical mechanical polishing process.

0034        Figure 2E is an enlarged, cross-sectional view of the present invention semiconductor structure of Figure 2D with the passivation layer partially removed in a wet etch.

Detailed Description of the Preferred Embodiment

0035        The present invention discloses a method for forming aluminum bumps by sputtering and chemical mechanical polishing in a greatly simplified process than that of the conventional process used in forming bumps.

0036        In the present invention method, a pre-process electronic substrate that has a plurality of I/O pads formed on top is first provided. An insulating material layer is then deposited on top of the plurality of I/O pads to a thickness that is substantially the thickness of the aluminum bumps to be formed. A plurality of openings is then photolithographically formed on each of the plurality of I/O pads. A metal that includes Al is then sputter deposited to fill the plurality of openings and to cover a top surface of the insulating material layer. The electronic substrate is then chemical mechanical polished until a plurality of aluminum bumps is formed with the top surface of the bump flush with the top

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surface of the insulating material layer. At least partially the thickness of the insulating material layer is then removed by a wet etch process to expose the aluminum bump.

0037        The invention further discloses a method for forming aluminum bumps on a semiconductor structure by first providing a preprocessed semiconductor structure that has a plurality of I/O pads on top. A layer of polyimide-containing material is then printed by either a screen printing or a stencil printing technique to a thickness of at least 5 $\mu$ m on top of the structure forming a plurality of openings corresponding to each of the location of the plurality of I/O pads. The plurality of openings is then filled with a metal that includes Al, followed by a removal process for removing excess metal from areas other than the plurality of openings by a chemical mechanical polishing process, and then removing at least partially the layer of polyimide-containing material by a wet etch process.

0038        When compared to a traditional bump forming process which requires a complicated process with approximately 15 different steps, the present invention provides a greatly simplified bump forming method that requires only about five steps. Moreover, the

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conventional bump forming process cannot be integrated into a standard CMOS process which further increases the complexity and cost of bump forming. The present invention provide a greatly simplified method by using aluminum CMP and passivation etch back to form aluminum bumps. Only five major steps are required which can be easily integrated into a standard CMOS process at low cost.

0039 By utilizing the present invention novel process, a thick passivation layer deposition and aluminum CMP are used to first form a level surface of Al and passivation, and then a wet etch method utilizing buffered HF etchant to etch back the passivation layer to form the aluminum bumps.

0040 Referring now to Figure 2A wherein a present invention semiconductor structure 30 is shown. The semiconductor structure 30 is first formed by depositing and forming an I/O pad 32 on top of a pre-processed semiconductor structure 34. The I/O pad 32 can be advantageously formed of aluminum, or of an aluminum alloy such as one that contains a small amount of copper. On top of the I/O pad 32, is then deposited an insulating material layer to a thickness that is substantially the thickness of the aluminum bumps to be formed, i.e. a thickness that is at least 5  $\mu\text{m}$ , or in a range

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between about 5  $\mu\text{m}$  and about 10  $\mu\text{m}$ . A suitable insulating material layer can be a material selected from the group consisting of silicon oxide, spin-on-glass, silicon nitride and polyimide. The insulating material layer may further be formed by at least two layers of different materials. For instance, by a first layer of  $\text{Si}_3\text{N}_4$  or  $\text{SiO}_2$ , and by a second layer of polyimide deposited on top of the first layer of  $\text{Si}_3\text{N}_4$  or  $\text{SiO}_2$ . When two layers of different materials are utilized, the total thickness of the two layers is at least 5  $\mu\text{m}$ , or in the range between about 5  $\mu\text{m}$  and about 10  $\mu\text{m}$ .

0041 As shown in Figure 2A, on top of the I/O pad layer 32, is then deposited an insulating material layer 36 as previously described. The insulating material layer 36 is patterned by using a mask (not shown) forming an opening 38 for the aluminum bump to be formed. In the next step of the process as shown in Figure 2C, a metal that includes aluminum is sputter deposited to fill the opening 38 and to cover a top surface 42 of the insulating material layer 32. The aluminum layer 44 may be deposited of a metal that consists of aluminum and copper, or a metal that consists of aluminum and less than 3 wt. % copper.



0042        The sputter deposited aluminum layer 44 is then polished by a chemical mechanical polishing technique removing excess aluminum and forming an aluminum bump 40, as shown in Figure 2D. In the next and last step of the process, the insulating material layer 36 can be either partially (as shown in Figure 2E) or completely (not shown) removed to expose the aluminum bump 40. The exposure of the aluminum bump 40 facilitates the future bonding of the bump to other conductive pads. The partial removal of the insulating material layer 36 can be carried out in a wet etch process. Typically, an etchant such as buffered oxide etch (BOE) may be suitably used which contains HF that is buffered by  $\text{NH}_4\text{F}$ .

0043        The present invention novel method for forming aluminum bumps by sputtering aluminum in a plurality of openings and then chemical mechanical polishing to remove excess aluminum has therefore been amply described in the above description and in the appended drawings of Figures 2A~2E.

0044        While the present invention has been described in an illustrative manner, it should be understood that the terminology used is intended to be in a nature of words of description rather than of limitation.

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0045        Furthermore, while the present invention has been described in terms of a preferred embodiment, it is to be appreciated that those skilled in the art will readily apply these teachings to other possible variations of the inventions.

0046        The embodiment of the invention in which an exclusive property or privilege is claimed are defined as follows.